



U.S. Department
of Transportation
**Research and
Special Programs
Administration**

DOT-E 11860
(FOURTH REVISION)

400 Seventh St., S.W.
Washington, D.C. 20590

APR 29 2003

EXPIRATION DATE: March 31, 2005

1. **GRANTEE:** General American Transportation Corporation
dba/GATX Rail
Chicago, IL
2. **PURPOSE AND LIMITATION:**
 - a. This exemption authorizes the transportation in commerce of materials authorized in paragraph 6 below, in certain DOT specification tank cars equipped with half head shields instead of the required full head protection. This exemption provides no relief from the Hazardous Materials Regulations (HMR) other than as specifically stated.
 - b. The safety analyses performed in development of this exemption only considered the hazards and risks associated with transportation in commerce.
3. **REGULATORY SYSTEM AFFECTED:** 49 CFR Parts 106, 107 and 171-180.
4. **REGULATIONS FROM WHICH EXEMPTED:** 49 CFR § 173.31(b)(3)(ii) in that half head shields are not authorized except as specified herein.
5. **BASIS:** This exemption is based on the application of General American Transportation Corporation (GATX) dated April 8, 2003 submitted in accordance with § 107.109.
6. **HAZARDOUS MATERIALS (49 CFR § 172.101):**

Hazardous Materials Description			
Proper Shipping Name	Hazard Class/Division	Identification Number	Packing Group
Hazardous materials authorized in DOT specification 111A60ALW2, 111A60ALW1 and 111A100ALW2 tank cars	5.1, 8, 9	as appropriate	as appropriate

7. SAFETY CONTROL MEASURES:

a. PACKAGINGS - Prescribed packagings are DOT specification 111A60ALW2, 111A60ALW1 and 111A100ALW2 tank cars with reporting marks and numerals identified in the original application submitted by GATX, dated March 19, 1997, and the application of January 16, 2002. Each tank car must be equipped with a half head shield instead of the required full head protection.

b. MARKING - Each tank car must be marked "DOT-E 11860" in letters and numerals at least 4 inches high on a contrasting background above the DOT specification markings.

8. MODES OF TRANSPORTATION AUTHORIZED: Rail freight.

9. MODAL REQUIREMENTS: None as a requirement of this exemption.

10. COMPLIANCE: Failure by a person to comply with any of the following may result in suspension or revocation of this exemption and penalties prescribed by the Federal hazardous materials transportation law, 49 U.S.C. 5101 et seq:

- o All terms and conditions prescribed in this exemption and the Hazardous Materials Regulations, 49 CFR Parts 171-180.
- o Registration required by § 107.601 et seq., when applicable.

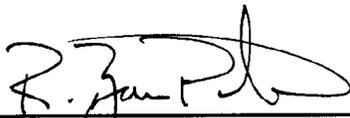
Each "Hazmat employee", as defined in § 171.8, who performs a function subject to this exemption must receive training

on the requirements and conditions of this exemption in addition to the training required by §§ 172.700 through 172.704.

No person may use or apply this exemption, including display of its number, when the exemption has expired or is otherwise no longer in effect.

11. REPORTING REQUIREMENTS: The carrier is required to report any incident involving loss of packaging contents or packaging failure to the Associate Administrator for Hazardous Materials Safety (AAHMS) as soon as practicable. (Sections 171.15 and 171.16 apply to any activity undertaken under the authority of this exemption.) In addition, the holder(s) of this exemption must inform the AAHMS, in writing, of any incidents involving the package and shipments made under the terms of this exemption.

Issued in Washington, D.C.:



Robert A. McGuire
Associate Administrator for
Hazardous Materials Safety

APR 29 2003

(DATE)

Address all inquiries to: Associate Administrator for Hazardous Materials Safety, Research and Special Programs Administration, Department of Transportation, Washington, D.C. 20590.
Attention: DHM-31.

Copies of this exemption may be obtained by accessing the Hazardous Materials Safety Homepage at <http://hazmat.dot.gov/exemptions> Photo reproductions and legible reductions of this exemption are permitted. Any alteration of this exemption is prohibited.

PO: sln