



U.S. Department
of Transportation

**Research and
Special Programs
Administration**

400 Seventh Street S.W.
Washington, D.C. 20590

HAZARDOUS MATERIALS REGISTRATION PROGRAM

This packet contains information outlining registration requirements applicable to persons who offer or transport hazardous materials in commerce. You should read the section "Who Must Register" on page 2 of the attached brochure to determine whether your company must comply. If your company generates hazardous waste, please read the section "Requirements for Hazardous Waste Generators" on page 3 to determine whether your company is required to register.

Registration fees have not changed from last year (the 2001-2002 registration year). We have again deferred a decision on our proposal to temporarily reduce registration fees (published in the *Federal Register* on December 7, 2000) until provisions in the President's Fiscal Year 2003 budget proposal are fully considered. The proposals to amend the registration regulations to refer to the U.S. Small Business Administration's (SBA) use of the North American Industry Classification System (NAICS) codes rather than to the Standard Industrial Classification (SIC) codes to determine the size standard for an industry group, and to establish a specific fee for not-for-profit organizations have also been deferred.

Please also note:

- **Changes effective July 1, 2000.** We made several changes to the registration requirements beginning with the 2000-2001 registration year. One of these changes expanded the requirement to register to any person that offers or transports a quantity of hazardous materials (including hazardous waste) that requires placarding. Many persons who were not required to register before July 1, 2000, are now required to register.
- **Prior years.** The Hazardous Materials Registration Program has been in effect since the 1992-1993 registration year. Your company must register for prior years if (1) it engaged in activities during those years that required registration, and (2) it failed to register. See page 6.
- **Each "person" must register.** The Hazardous Materials Registration requirements apply to a "person," which includes each separate corporation, partnership, or association, as well as a sole proprietorship. Registration is not required for each vehicle that you operate or for each facility or terminal operated by a single "person."
- **Mailings.** If you received this in the mail, we sent it to you because your company is currently registered in the Hazardous Materials Registration Program or appears on one or more of several lists of companies as an offeror or transporter of hazardous materials (including hazardous wastes). These lists were collected primarily from offices within the U.S. Department of Transportation or the U.S. Environmental Protection Agency. While inclusion in any of our source lists is not an absolute indication that you are required to register, it does indicate that you may be subject to the registration requirements.

In most years we make "follow-up" mailings several weeks after this information packet is first mailed out, to remind people of the registration requirements. For both the initial and follow-up mailings we try to remove duplicate address records from the lists we use. However, when working with lists from different sources, it is not always possible to eliminate multiple entries, especially when a single company appears in different lists under slightly different names or with different addresses. Consequently, this packet may have been sent more than once to a single company or "person." We regret any inconvenience this may cause.

Hazardous Materials Emergency Preparedness (HMEP)

Grants Made for Use in Fiscal Year 2002

Alabama	Mississippi	Washington
Alaska	Missouri	West Virginia
Arizona	Montana	Wisconsin
Arkansas	Nebraska	Wyoming
California	Nevada	American Samoa
Colorado	New Hampshire	District of Columbia
Connecticut	New Jersey	Guam
Delaware	New Mexico	No. Mariana Islands
Florida	New York	Puerto Rico
Georgia	North Carolina	US Virgin Islands
Hawaii	North Dakota	
Idaho	Ohio	<u>American Indian Tribes</u>
Illinois	Oklahoma	Fallon Paiute-Shoshone, NV
Indiana	Oregon	Inter Tribal Council, AZ
Iowa	Pennsylvania	Menominee, WI
Kansas	Rhode Island	Prairie Island, MN
Kentucky	South Carolina	Pueblo of Acoma, NM
Louisiana	South Dakota	Pueblo of Laguna, NM
Maine	Tennessee	Pyramid Lake Paiute, NV
Maryland	Texas	St. Regis Mohawk, NY
Massachusetts	Utah	Salish & Kootenai, MT
Michigan	Vermont	
Minnesota	Virginia	Grand Total

REGISTRATION PHONE NUMBERS

HAZARDOUS MATERIALS REGISTRATION PROGRAM

Form and Instructions	(617) 494-2545
Automated Fax-on-Demand Service for	
Brochure and Form (request document 700)	(800) 467-4922
Four Weeks and No Certificate?	(617) 494-2545
Registration Information and Answers	(202) 366-4109
General Hazardous Materials Information -	
Hazardous Materials Information Center	(800) 467-4922
Expedited Registration	(800) 942-6990
Verify U.S. DOT ID Number (FMCSA/OMC)	call the FMCSA local office
SBA Size and SIC Code Questions (SBA)	(202) 205-6618
Copy of Relevant Regulations (49 CFR Parts 100 to 185)	(202) 512-1800



Registering As An Offeror or Transporter of Hazardous Materials

U.S. DEPARTMENT OF TRANSPORTATION

Hazardous Materials Registration Program

Instructions & Form DOT F 5800.2
For Registration Year 2002–2003

■ *Important Changes Effective July 1, 2000*

The following changes became effective with the 2000-2001 registration year (July 1, 2000, through June 30, 2001) and remain in effect:

- Registration is required for each person that offers or transports **any shipment of hazardous materials that requires placarding** (with an exception for farmers offering or transporting hazardous materials in direct support of their farming activities).
- The annual registration fee for years beginning with 2000-2001 is \$300 for each person meeting the Small Business Administration's size standard for a small business and \$2,000 for each person that does not meet those standards. (The fee was \$300 for prior registration years up through 1999-2000 regardless of the size of your business.)
- You may register for a one, two, or three year registration period beginning with the 2000-2001 year by completing a single registration statement and paying the appropriate fee. The fees listed on the statement and in this brochure include a \$25 administrative fee for each filing. By submitting a form and payment for two or three years, you save the administrative fee for the extra year(s). A single Certificate of Registration with a Registration Number good for one, two, or three years is issued for each statement.

You may register by using one of the following methods:

- Register through the Internet as explained on page 9 of this brochure.
- Complete form DOT F 5800.2 (revised 3/02) and mail it with payment of the appropriate fee to the address given on the printed form.
- Register by telephone through the expedited procedures described on page 9 of this brochure.

■ *Introduction*

Background and Uses of the Fee

Under the federal hazardous material transportation law (49 U.S.C. 5101 *et seq.*), certain offerors and transporters of hazardous materials, including hazardous waste, are required to file a registration statement with the U.S. Department of Transportation and to pay an annual fee. This program began in 1992 and is administered by the Associate Administrator for Hazardous Materials Safety, Research and Special Programs Administration (RSPA). The registration regulations are found at 49 CFR 107.601-107.620.

The fee provides funds for grants distributed to states and Indian tribes for hazardous materials emergency response planning and training through the Hazardous Materials Emergency Preparedness Grants Program. For use in FY 2002, 50 states, the District of Columbia, five territories, and nine Indian tribes received grant awards totaling \$12.8 million. These grants support planning and training of emergency personnel to respond to incidents involving hazardous materials. Grants are used to train, in part, approximately 140,000 emergency responders each year. In addition, \$1.25 million in grants have been made to the International Association of Fire Fighters to support its hazardous materials instructor train-the-trainer program. The fees are also used to publish the *Emergency Response Guidebook*. **Your fee is helping communities prepare for a spill, accident or other hazardous materials emergency that may occur.**



U.S. Department of Transportation
Research and Special Programs
Administration

■ Who Must Register?

Each person* as defined by the federal hazardous material transportation law that engages in any of the activities requiring registration must register. See the discussion of person below for exceptions to the requirement.

*Underlined words are defined on pages 2 - 5.

Activities Requiring Registration:

A person who, between July 1 of a year and June 30 of the following year, offers or transports in commerce any of the following categories of hazardous materials (including hazardous wastes) must register with RSPA for that twelve-month period (Note: the letters identifying each of the following sections represents the “Category” of activity used on the Registration Statement):

- A. A highway route controlled quantity of a Class 7 (radioactive) material, as defined in 49 CFR 173.403.
- B. More than 25 kilograms (55 pounds) of a Division 1.1, 1.2, or 1.3 (explosive) material (see 49 CFR 173.50) in a motor vehicle, rail car, or freight container.
- C. More than one liter (1.06 quarts) per package of a “material extremely toxic by inhalation” (that is, a “material poisonous by inhalation,” as defined in 49 CFR 171.8, that meets the criteria for “hazard zone A” as specified in 49 CFR 173.116(a) for gases or 173.133(a) for liquids).
- D. A hazardous material (including hazardous wastes) in a bulk packaging having a capacity equal to or greater than 13,248 liters (3,500 gallons) for liquids or gases or more than 13.24 cubic meters (468 cubic feet) for solids. Please note that under this provision persons who offer or transport hazardous materials that do not require placarding (that is, Class 9 materials) in a bulk packaging with a capacity greater than 3,500 gallons or 468 cubic feet, must register.
- E. A shipment in other than a bulk packaging of 2,268 kilograms (5,000 pounds) gross weight or more of one class of hazardous materials (including hazardous wastes) for which placarding of a vehicle, rail car, or freight container is required for that class.
- F. A quantity of hazardous material that requires placarding. The offering and transporting of hazardous materials by farmers in direct support of their farming operations are excepted from this category of activities requiring registration. See the section “Requirements for Farmers” below.

Since the beginning of the program in 1992, activities in Categories A through E have required registration by law. Category F was added by regulation beginning with the 2000-2001 registration year.

Person:

A person is defined by federal hazardous material transportation law as including an individual, firm, copartnership, corporation, company, association, joint-stock association, including any trustee, receiver, assignee, or similar representative thereof, or government, Indian tribe, or agency or instrumentality of any government or Indian tribe when it offers hazardous materials for transportation in commerce or transports hazardous materials to further a commercial enterprise. However, the following are specifically excepted by statute or regulation from the registration requirements:

- A. Agencies of the federal government.
- B. Agencies of states.
- C. Agencies of political subdivisions of states.
- D. Employees of those agencies listed in [A], [B], or [C] with respect to their official duties.
- E. Hazmat employees, including the owner-operator of a motor vehicle that transports hazardous materials in commerce if that vehicle, at the time of those activities, is leased to a registered motor carrier under a 30-day or longer lease as prescribed in 49 CFR Part 376 or an equivalent contractual relationship.
- F. Persons domiciled outside the United States whose only activity involving the transportation of hazardous materials within the United States is to offer hazardous materials for transportation in commerce from locations outside the United States, if the country in which they are domiciled does not impose registration or a fee upon U.S. companies for offering hazardous materials into that country. However, persons domiciled outside the United States who transport the types and quantities of hazardous materials that require registration within the United States must register.
- G. Farmers who offer or transport only hazardous materials that are used in direct support of their farming operations **and** who are not engaged in activities included in 49 CFR 107.601(a)(1) through (a)(5), that is, Categories A through E described in “Activities Requiring Registration” above. See “Requirements for Farmers” below.

Clarifications:

The registration requirement applies to all persons who (1) engage in interstate, intrastate, or foreign commerce; (2) engage in any of the activities discussed in “Activities Requiring Registration” above; and (3) are not specifically excepted from the requirement.

Each person who acts as an intermediary in the transportation of hazardous materials, such as a freight forwarder or agent, is subject to the registration requirements if that person performs or contracts to perform any of the functions of an offeror of hazardous materials.

Each U.S. company engaged in a specified hazardous materials activity that is (1) incorporated separately from a parent company, (2) under the majority stock ownership of another company, or (3) a wholly owned or controlled subsidiary of another company, is required to register even when the parent company is also subject to registration.

You must also register if you engage in any of the activities discussed in “Activities Requiring Registration” above, and are:

1. A merchant vessel carrier transporting, transiting, or transshipping hazardous materials within 12 miles of the U.S. coast; or
2. A federal, state, or local government contractor.

Requirements for Farmers:

1. If you are a farmer who offers or transports only hazardous materials that (a) are used in direct support of your farming activities **and** (b) are not included in Categories A through E of “Activities Requiring Registration” above, you are not required to register.

2. If you are a farmer who offers or transports hazardous materials that require placarding that are **not** in direct support of your farming operation, you must register and pay the appropriate registration fee. For example, a farmer who offers or transports home heating fuel for commercial purposes in quantities requiring placarding must register.
3. If you are a farmer who offers or transports for any purpose any of the hazardous materials included in Categories A through E of “Activities Requiring Registration” above, you must register and pay the appropriate registration fee. For example, if you transport 55 lbs or more of a Division 1.1 explosive, even if the explosive is to be used in direct support of your farming operations, you must register.

Requirements for Hazardous Waste Generators:

Hazardous waste generators are subject to the registration requirement if they offer or transport hazardous wastes in the quantities that require registration. All hazardous wastes subject to the Hazardous Waste Manifest Requirements of the U.S. Environmental Protection Agency are hazardous materials. In July 2000 the number of hazardous waste generators required to register significantly increased when the regulations were revised to require registration of all persons who offer or transport a quantity of hazardous materials that requires placarding.

Any person who performs any of the activities of an offeror, which include signing the shipping paper (hazardous waste manifest), for a quantity that requires placarding must register. Depending on the type of packaging (container) and the amount of waste being removed at one time, even generators of relatively small quantities of hazardous waste may be subject to the registration requirement.

A hazardous waste generator must register if it:

1. offers or transports any amount of a waste other than Division 6.2 or Class 9 materials (which do not require placarding) in bulk packagings (for example, a cargo tank, a tank car, or a bulk transport vehicle); or
2. offers or transports any amount of a Division 6.2 or Class 9 material in bulk packagings with capacities equal to or greater than 3,500 gallons or 468 cubic feet; or
3. offers or transports hazardous waste in other than bulk packagings (any container with a capacity of less than 119 gallons, for example, a 55 gallon drum) if a single shipment contains 1,000 pounds or more of one or more classes of hazardous waste that require placarding. Please note that it is the amount of material being removed from a site in non-bulk packagings at one time that triggers the registration requirement for the generator, not the amount of material that is contained in total on the truck, part of which may have been shipped by another hazardous waste generator.

Placarding:

Placarding requirements are found in 49 CFR Part 172, Subpart F. The requirements are summarized as follows:

1. Except for Division 6.2 and Class 9 materials, any quantity of a hazardous material offered for transportation or transported in a bulk packaging requires placarding.
2. For materials offered for transportation or transported in a **non-bulk packaging**, the quantity that requires placarding depends

on whether the material is in a class or division included in Table 1 or Table 2 below:

Table 1 (Placard any quantity)

Hazard Class or Division	Placard Name
1.1	EXPLOSIVES 1.1
1.2	EXPLOSIVES 1.2
1.3	EXPLOSIVES 1.3
2.3	POISON GAS
4.3	DANGEROUS WHEN WET
5.2 (Organic peroxide, Type B, liquid or solid, temperature controlled)	ORGANIC PEROXIDE
6.1 (Inhalation Hazard, Zone A or B)	POISON INHALATION HAZARD
7 (Radioactive Yellow III label only)	RADIOACTIVE

Table 2 (Placard 1,001 pounds or more)

Hazard Class or Division	Placard Name
1.4	EXPLOSIVES 1.4
1.5	EXPLOSIVES 1.5
1.6	EXPLOSIVES 1.6
2.1	FLAMMABLE GAS
2.2	NON-FLAMMABLE GAS
3	FLAMMABLE
Combustible Liquid	COMBUSTIBLE
4.1	FLAMMABLE SOLID
4.2	SPONTANEOUSLY COMBUSTIBLE
5.1	OXIDIZER
5.2 (Other than organic peroxide, Type B, liquid or solid, temperature controlled) ..	ORGANIC PEROXIDE
6.1 (PG I or II, other than Zone A or B inhalation hazard)	POISON
6.1 (PG III)	KEEP AWAY FROM FOOD
6.2 (Infectious substance)	NONE
8	CORROSIVE
9	CLASS 9 (placard not required for domestic transportation)
ORM-D	NONE

Note: Infectious substances, hazardous materials classed as ORM-D, hazardous materials authorized to be offered for transportation as Limited Quantities when identified as such on shipping papers, hazardous materials prepared in accordance with 49 CFR 173.13, hazardous materials which are packaged as small quantities under the provisions of 49 CFR 173.4, and combustible liquids in non-bulk packagings are excepted from the placarding requirements by 49 CFR 172.500(b). Materials offered or transported under the “materials of trade” exception (see 49 CFR 173.6) are, by definition, less than the quantities that require placarding.

■ **Definitions**

Bulk Packaging:

A bulk packaging is a packaging, other than a vessel or a barge, with (1) a maximum capacity greater than 450 liters (119 gallons) as a receptacle for a liquid; (2) a maximum net mass greater than 400 kilograms (882 pounds) and a maximum capacity greater than 450 liters (119 gallons) as a receptacle for a solid; or (3) a water capacity greater than 454 kilograms (1000 pounds) as a receptacle for a gas (see 49 CFR 171.8).

The extension of the registration requirement to any placarded shipment basically over-rides activities that require registration in categories D and E. Hazardous materials (particularly Class 9

hazardous wastes) that do **not** require placarding but **are** transported in bulk packagings with capacities greater than 3,500 gallons or 468 cubic feet require registration under category D. However, shipments of hazardous materials that do **not** require placarding (for example, Class 9 materials), placed in bulk packagings with capacities **less than** 3,500 gallons or 468 cubic feet, do **not** require registration.

It is important to note that the use of bulk packagings with capacities equal to or greater than 3,500 gallons or more than 468 cubic feet requires registration no matter how small the quantity of hazardous materials actually offered or transported. For example, persons who have hazardous waste picked up in tank trucks with such capacities, and persons who return unpurged tank trucks or rail tank cars from which they have unloaded hazardous materials so that the tanks still contain residues of hazardous materials when returned, must register under the provisions of Category D.

Calendar Year:

Although the registration year extends from July 1 of a year through June 30 of the following year, a calendar year is used to report activity in item 7 of the registration statement, “Prior-Year Survey Information.” This calendar year is the twelve-month period (January 1 through December 31) immediately preceding the beginning date of the registration year (or years) for which you are filing the registration statement. You are asked to supply information on your activities in calendar year 2001 on the statement submitted for 2002-2003 (or 2002-2004, or 2002-2005). The use of the preceding calendar year in reporting activity provides a definite and verifiable (rather than speculative) basis for this section of the form.

Farmer:

The term “farmer” means a person engaged in the production or raising of crops, poultry, or livestock (49 CFR 171.8).

Highway Route Controlled Quantity:

The criteria for determining whether a shipment of radioactive material is a “highway route controlled quantity” are found in 49 CFR 173.403, which further references 49 CFR 173.435. Please note that any shipment of a “highway route controlled quantity” of a radioactive material, whether by highway, rail, air, or water, subjects the offeror and carrier to the registration requirement.

If you think you are an offeror or carrier of highway route controlled quantities, please review the defining criteria carefully. Shipments of highway route controlled quantities must be specifically identified as such by the offeror on the shipping papers (see 49 CFR 172.203(d)(4)).

Modes:

The four modes of transportation that are covered under the Hazardous Materials Regulations, including the registration requirement, are highway, rail, air, and water. For purposes of registration, highway transportation includes all interstate, intrastate, and foreign shipments that meet the registration criteria and are not specifically excepted by regulation. Water transportation does not include hazardous materials loaded or carried on board a vessel without benefit of containers or labels and received and handled by the vessel carrier without mark or count. This type of shipment,

for which the vessel becomes the container, such as LNG or oil tanker vessels, falls under the authority of the U.S. Coast Guard. See the delegation of authority at 49 CFR 1.46(t).

Offeror:

An offeror is a person who ships hazardous materials in commerce, or a person who acts as an intermediary in the transportation of hazardous materials (such as a freight forwarder or agent) if the intermediary performs any of the functions of an offeror of hazardous materials. Functions of an offeror, besides shipping, include, but are not limited to: selecting the packaging for a regulated hazardous material, physically transferring a hazardous material to a carrier, determining the hazard class of a hazardous material, preparing shipping papers, reviewing shipping papers to verify compliance with the hazardous materials regulations or their international equivalents, signing hazardous materials certifications on shipping papers, placing hazardous materials markings or placards on vehicles or packages, and providing placards to a carrier.

A formal interpretation of the terms “offeror” and “transporter” as related to the registration requirements was published in the *Federal Register* on October 28, 1992, pages 48739 - 48741.

Further clarification of the term “offeror” was supplied in the Final Rule published in the *Federal Register* on February 14, 2000, page 7304. In item 7 of the registration statement, you are asked to indicate whether you operated as a “Shipper,” “Carrier,” or “Other” during the calendar year preceding the beginning of the registration year. Persons who act as intermediaries should mark “Other” (marking “Shipper” or “Carrier” as well, if appropriate).

Shipment:

The term “shipment” as used in the registration regulations means the offering or loading of hazardous material at one loading facility using one transport vehicle, or the transport of that transport vehicle (see 49 CFR 107.601(c)).

Small Business:

A person is a “small business” if it meets the size standard established by the U.S. Small Business Administration (SBA) in 13 CFR 121 for the primary commercial activity of the person (company).

Size Standards for 2001-2002 and later: To find the current size standard for your primary industry group, see the list of Standard Industrial Classification (SIC) codes, the corresponding North American Industry Classification System (NAICS) codes, and current size standards for the NAICS codes for some common hazardous materials industry groups on pages 10 and 13. If your industry group is not included in this list, see the complete list at the SBA Internet site at:

<http://www.sba.gov/size/SIC2NAICSmain.html>

To determine the appropriate fee for your company, apply the NAICS size standard for the industry group that best describes your primary commercial activity. Because we have not amended the registration regulations to reflect the SBA's recent adoption of the NAICS codes, please report the corresponding SIC code on the registration statement. A link to the SBA site is provided at the Registration Internet page.

If you do not know your company's SIC code, you may use the U.S. Occupational Safety and Health Administration's search engine at <http://www.osha.gov/oshstats/sicser.html> to search detailed descriptions of the businesses included in each classification. The SBA Office of Size Standards provides a search engine for the NAICS and SIC codes and descriptions at their Internet site at <http://www.sba.gov/size/>. The result of a search displays the SBA size standards for the NAICS and SIC codes. This site also provides other useful information on the SBA size standards. You may also call the SBA Office of Size Standards at 202-205-6618 for assistance in determining your company's industry group and its size standard.

With a few exceptions, the size standard is either the number of employees or the gross annual receipts. The number of **employees** is defined by SBA as being the average number of employees (including all individuals employed on a full-time, part-time, temporary, or other basis) employed during the pay periods in the preceding twelve months. See 13 CFR 121.106 for the applicable SBA definition.

Gross annual receipts is defined by SBA at 13 CFR 121.104. "Receipts" generally means "total income" (or in the case of a sole proprietorship, "gross income") plus the "cost of goods sold" as these terms are defined or reported on Internal Revenue Service (IRS) federal tax returns. The term, however, excludes net capital gains or losses, taxes collected for and remitted to a taxing authority if included in gross or total income, and proceeds from the transactions between a concern and its domestic or foreign affiliates (if also excluded from gross or total income on a consolidated return filed with the IRS). If your company has been in business for three or more years, the "annual receipts" is the receipts over its last three completed fiscal years divided by three. For companies in existence less than three years, the "annual receipts" is calculated by taking the receipts for the period the company has been in business divided by the number of weeks in business, multiplied by 52.

Whether annual receipts or number of employees is the size standard established for your industry group, you should consider the receipts or number of employees for the "person" required to register to determine whether your company must pay the fee for a "Small Business" or "Not a Small Business." **The "person" required to register may be a small business for registration purposes even though it may be part of an "entity" (which includes affiliates) that the SBA does not consider a small business for its internal purposes.**

Not-for-profit organizations should apply the SBA size standard for the industry group that represents the principal business activity to determine the appropriate fee (even though not-for-profit organizations are not covered by the SBA's size standards).

Size Standards for 2000-2001: The SBA periodically adjusts its size standards to reflect changes in industry characteristics. When the SBA adopted the NAICS system in place of the SIC system in October 2000 a significant number of size standards were adjusted. If you are registering for the 2000-2001 year, you may use the SBA size standards for SIC codes as of September 30, 2000, to determine whether your company qualified as a small business for that registration year. A table of these standards is provided at the SBA Internet site at:

<http://www.sbaonline.sba.gov/regulations/siccodes/>

Transportation:

Transportation means the movement of property and any loading, unloading, or storage incidental to the movement (49U.S.C.5102(12)).

■ ***Registration Deadline***

The completed 2002-2003 registration statement and payment must be submitted before July 1, 2002, or before engaging in any of the activities requiring registration, whichever is later. If you submit your registration statement by mail, we suggest that you submit it at least four weeks in advance of the date you will need the registration certificate in order to allow sufficient time for the registration to be processed and the certificate produced and mailed to you. If you need to obtain a registration number immediately, see the instructions for "Registering Through the Internet" or "Expedited Registration" on page 9.

■ ***Recordkeeping Requirements***

Copies of the registration statement and the certificate of registration must be kept for three years at your principal place of business and must be available for inspection. Motor carriers and vessel operators must also have on board a copy of the current certificate of registration, or another document bearing the current year's registration number identified as the "U.S. DOT Hazmat Reg. No." Every truck or truck tractor or vessel you use for the transportation of a hazardous material that meets the registration criteria must have this proof of registration on board.

■ ***State Registrations***

Some states have hazardous materials registration or permitting programs that may also apply to you. Registration with RSPA does not replace state requirements.

■ ***Use The Proper Registration Statement***

Because of the changes in the registration requirements in 2000, you must use a Registration Statement with a "revised" date of 3/00 or later. All forms with earlier revision dates will be returned to you unprocessed and will therefore delay your registration. A copy of the Registration Statement, Form DOT F 5800.2 (Revised 3/02), is supplied in this brochure. You may use this form to register for years prior to 2000-2001, but for such years, the SIC code, the indication of business size, and Category F of the Prior Year Survey need not be supplied.

■ ***Penalties For Failure To Register***

The requirement to register with DOT is based on a federal law. The enforcement of this requirement is conducted cooperatively by federal, state, and local agencies. Federal, state, or local officials may impose penalties for failing to register or failing to meet the recordkeeping requirements.

■ ***Registering As A Non-Resident***

If you are not a resident of the United States, you must designate a permanent U.S. resident to serve as "agent for service of process" in accordance with 49 CFR 107.7. The name and address of your agent must be attached to the statement.

■ **Sources of Information**

For Copies of this Brochure and Registration Forms:

- Download copies from the RSPA Registration Internet Home Page at <http://hazmat.dot.gov/register.htm>.
- Dial RSPA's automated Fax-on-Demand service at 1-800-467-4922. Press "2" and follow the instructions to request document number 700.
- E-mail your request to REGISTER@rspa.dot.gov.
- Call 617-494-2545 or 202-366-4109.

For Questions on the Registration Program Requirements:

- Call the Registration Program office at 202-366-4109.
- E-mail questions to REGISTER@rspa.dot.gov.

For Questions About the Hazardous Materials Regulations:

- Call the Hazardous Materials Information Center at 1-800-467-4922.

For Questions About the SBA Size Standards:

- See the complete listing of SIC codes, NAICS codes, and size standards at <http://www.sba.gov/size/SIC2NAICSmain.html>.
- Visit the SBA Office of Size Standards Internet site at <http://www.sba.gov/size/>.
- Call the SBA Office of Size Standards at 202-205-6618.

For Information on the HMEP Grants Program:

- Visit the HMEP Grants Internet site at <http://hazmat.dot.gov/hmep.htm>.
- Call the Grants Program office at 202-366-0001.

For the Status of your Registration Submission:

- Call 617-494-2545.

For Expedited Registration:

- Call 1-800-942-6990.

Registering Through the Internet:

- Register through the Internet at <http://hazmat.dot.gov/register.htm> as explained on page 9 of this brochure.
- If you need assistance or encounter problems with the Internet registration process, call 202-366-4484.

For Copies of the Hazardous Materials Program Regulations:

- Contact the Superintendent of Documents, Government Printing Office, Washington, DC 20402; telephone 202-512-1800. Ask for Title 49 of the Code of Federal Regulations, Parts 100 to 185.
- Access the Code of Federal Regulations through the Internet at <http://www.access.gpo.gov/nara/cfr> or <http://hazmat.dot.gov/rules.htm>.

To Obtain a U.S. DOT ID Number:

- Call the local Federal Motor Carrier Safety Administration's Office of Motor Carriers (usually in your state capitol). See the list of FMCSA field offices at <http://www.fmcsa.dot.gov/contactus/fieldoffs.shtm>.
- The application for a US DOT number can be printed or filed electronically at <http://www.fmcsa.dot.gov/factsfigs/formspubs.htm>.

For Information About Hazardous Materials Safety:

- The Office of Hazardous Materials Safety's Internet homepage contains extensive information on the transportation of hazardous materials. Please visit our site at <http://hazmat.dot.gov>.

If You Have Failed To Register For Past Years . . .

If you engaged in any of the hazardous materials activities described in 49 CFR 107.601(a)(1) through (a)(5), that is, those listed on the statement as categories A through E, in registration years before 2000-2001, or if you engaged in any of the activities described in 49 CFR 107.601(a)(1) through (a)(6), that is, those listed on the statement as categories A through F, in registration year 2000-2001 or 2001-2002, but did not register, you must do so now. There are ten prior registration years:

- 1992-93 (September 16, 1992, to June 30, 1993);
- 1993-94 (July 1, 1993, to June 30, 1994);
- 1994-95 (July 1, 1994, to June 30, 1995);
- 1995-96 (July 1, 1995, to June 30, 1996);
- 1996-97 (July 1, 1996, to June 30, 1997);
- 1997-98 (July 1, 1997, to June 30, 1998);
- 1998-99 (July 1, 1998, to June 30, 1999);
- 1999-2000 (July 1, 1999, to June 30, 2000);
- 2000-2001 (July 1, 2000, to June 30, 2001); and
- 2001-2002 (July 1, 2001, to June 30, 2002).

You must file a registration statement and pay the appropriate fee for each year for which you need to register. For registration years **1992-1993 through 1999-2000**, the fee is \$300 per year and is not dependent on the SBA size criteria. For these years, you must submit a **separate** statement for each of the years for which you are registering. Multiple year statements are not accepted for registration years 1992-1993 through 1999-2000. For registration year **2000-2001 or 2001-2002**, the fee is \$300 if you meet the SBA criteria for a small business or \$2,000 if you do not meet the SBA criteria for a small business. You may combine registrations for 2000-2001 and 2001-2002 with registrations for later years (a maximum of a three-registration year period). The **only** multiple-year combinations are: 2000-2002, 2000-2003, 2001-2003, 2001-2004, 2002-2004, 2002-2005. Be very careful to enter the proper registration years at the top of the statement and pay the proper fees for one, two, or three years. You may copy and use the form supplied in this booklet to register for any previous year.

Complete the "Activities" and "States" sections of item 7 of the registration statement to reflect the type of activities you conducted and the states in which you conducted them during the calendar year preceding the beginning of the registration year for which the statement is being filed. For example, if you are filing for the 2001-2002 registration year, report the activities you engaged in, and the states in which you offered or transported hazardous materials, between January 1 and December 31, 2000.

If you are registering for a year between 1992-1993 and 1999-2000, you do not need to supply the SIC code, the business size information, or Category F of Item 7 (Prior Year Survey).

REGISTRATION INSTRUCTIONS

You may register for one, two, or three registration years beginning July 1, 2002, through the submission of a single registration statement and the payment of the appropriate fees. You may register for the one-year period **2002-2003** (July 1, 2002, through June 30, 2003), OR for the two-year period **2002-2004** (July 1, 2002, through June 30, 2004), OR for the three-year period **2002-2005** (July 1, 2002, through June 30, 2005). You may complete a registration statement (DOT Form F 5800.2 Revised 03/02), and send the statement with payment to the U.S. Department of Transportation at the address shown on the back of the form, or you may register through the Internet by following the directions on page 9. You may use this form or the Internet service to register for previous years. See the instructions for "If You Have Failed to Register For Past Years" on page 6 and for Internet registration on page 9.

Register before July 1, 2002, or before engaging in any of the activities requiring registration,* whichever is later. You must have the DOT certificate and registration number before you offer or transport hazardous materials. Allow four weeks after mailing your completed registration statement and payment to receive your certificate. You will receive a new registration number for each properly completed registration statement you submit.

The instructions for completing the registration statement form begin on this page and continue on page 8. You may find it useful to read through the instructions and the statement before completing it. Please fill in the top block before starting item 1.

*Underlined words are defined on pages 2 - 5.

TOP BLOCK

- Fill in the appropriate registration year or years. You may enter "2002-2003" (for one year), "2002-2004" (for two years) or "2002-2005" (for three years). If you are registering for a past year, please see the instructions in the box on page 6.
- Check the kind of registration you are making:

Initial Registration means you are registering for the first time. Since you have no registration number yet, leave the space for "Current Registration #" blank.

Renewal of Registration means you have registered in one or more previous years; please enter your most recently issued registration number as the "Current Registration #."

Amendment to Registration means you are updating information currently on file (which you must do if your name or principal place of business changes during the year). If you need instructions only for making this kind of change, skip to the box below.

Expedited Follow-up means you already made an expedited registration by phone and are providing follow-up data to that transaction. Enter the temporary registration number you received over the phone as the "Current Registration #." **If you check Expedited Follow-up, please turn to page 9 for special instructions on completing this form.**

IDENTIFYING INFORMATION

- 1 and 2. **Name and Address of Registrant:** Enter your business name and address. This is the address to which the certificate of registration and any necessary correspondence will be mailed. If we have provided you with a preprinted label and no corrections are necessary, you may use that label to supply this information.
3. **Carrier's US DOT ID Number, ICC Number, or Reporting Railroad Alphabetic Code:** If you have any of these identifiers, enter them. If you do not have any of these identifiers,

leave these spaces blank. To verify or obtain a U.S. DOT ID number, call the Federal Motor Carrier Safety Administration's local office (usually in your state capitol). See the list of FMCSA field offices at <http://www.fmcsa.dot.gov/contactus/fieldoffs.shtm>. The application form for a US DOT number can be printed or electronically filed at <http://www.fmcsa.dot.gov/factsfigs/formspubs.htm>.

4. **Mode(s) Used to Transport Hazardous Materials:** Check all the ways you moved hazardous materials during the calendar year ending last December. If you are a carrier, mark only the mode(s) you used to transport hazardous materials. If you are a shipper or other offeror, check all modes used to transport shipments offered by your company.

REGISTRATION FEES AND PAYMENT INFORMATION

5. **Business Size:** Enter the four-digit Standard Industrial Classification (SIC) code that best describes the primary business activity (industry group) of your company. Indicate whether your company meets the U.S. Small Business Administration's size standard for a small business for the appropriate industry group. See the definition of small business and the resources for help given on pages 4 - 5. Apply the specified size standard (either the number of employees or the gross annual receipts) to the registering person.

AMENDING YOUR REGISTRATION

You must amend your registration statement within 30 days if there is a change in your company's name or principal place of business. You may submit an amendment through the Registering Through the Internet service (see page 9) at <http://hazmat.dot.gov/register.htm> or by following these directions:

Check "Amendment to Registration" on the top block of the registration statement, enter the "Current Registration #," record the new name or address in items 1 and 2, and complete and sign item 8, CERTIFICATION OF INFORMATION. You need not fill in any other sections.

Attach a copy of the original certificate of registration to the amended form. Send the amendment to the Atlanta, Georgia, address printed on the form.

There is no additional fee for filing an amendment whether done by mail or through the Internet.

6. Fees:

2002-2003, 2002-2004, or 2002-2005: If your company meets the SBA size standard for a small business, the fee for a single year (2002-2003) is \$300, for two years (2002-2004) it is \$575, and for three years (2002-2005) it is \$850.

If your company does not meet the SBA criteria for a small business, the fee for one year (2002-2003) is \$2,000, for two years (2002-2004) it is \$3,975, and for three years (2002-2005) it is \$5,950.

If your company does not meet the SBA criteria for a small business and is submitting an Expedited Follow-Up for the 2002-2003 registration year, the remaining fee is \$1,700.

2000-2001 and 2001-2002: If you are registering for the 2000-2001 or 2001-2002 registration year, the fee is \$300 for small businesses and \$2,000 for not-small businesses. To determine whether your company qualifies for the small fee, see the discussion of Size Standards under the definition of "Small Business" on pages 4-5.

1992-1993 through 1999-2000: If you are registering for a year prior to 2000-2001, the fee is \$300 for all businesses. You must submit a separate statement for each of these years for which you are required to register.

Payment Options:

- a. Enclose a check or money order, identified as Hazmat Registration Fee, and drawn on a U.S. bank in U.S. funds, payable to "U.S. Department of Transportation" OR
- b. Pay by VISA, MasterCard, American Express, or Discover by completing the credit card payment block on the form.

Registration and full fee payment are required of any person engaging in any of the specified activities at any time during the registration year. The fee is not prorated for registrations submitted after July 1.

You may submit one check in payment for multiple registration statements. Be sure to send the payment with the statement(s).

HAZARDOUS MATERIALS

ACTIVITIES: Prior-Year Survey Information

7. Use this part of the form to record your hazardous materials activities during the calendar year ending last December 31. Even if you engaged in none of the hazardous materials activities described here last year, but you intend to do so this year, you must register and pay the appropriate fee (mark category G).

Item 7 defines six categories of hazardous materials activities that require registration. Mark each category that your company engaged in during the last completed calendar year. Shipments of materials included in Categories A, B, C, D, or E should not also be included in Category F, even though in most instances such shipments require placarding. For instance, if your only hazardous materials activity is carrying more than 55 lbs. of Division 1.1 explosives, you should mark only Category B - not B and F. If, however, your only activity is shipping hazardous materials in quantities that require placarding that are not included in Categories A through E, mark only Category F. If you carry both shipments of more than 55 lbs. of Division 1.1 explosives and shipments of hazardous materials not included in Categories A through E, not in bulk packagings, of more than 1,000 lbs. but less than 5,000 lbs., you would mark both Categories B and F. If you are registering for a year prior to 2000-2001, you should not mark Category F even if you engaged in that activity during the applicable preceding calendar year. Activity in Category F requires registration only beginning with Registration Year 2000-2001.

For each of the categories A, B, C, D, E, or F, that you marked, also tell us for each one:

- (1) Shipper, Carrier, Other: Whether you handled the hazardous materials as a shipper, carrier, or "other." Check as many as apply. "Other" refers to activities other than those of the "shipper" or "carrier" in which any of the functions of an offeror or carrier are performed. See the definition of "Offeror" on page 4.
- (2) States: Which states you operated in with respect to this hazardous material. Circle the abbreviation for each state or territory in which you did business in hazardous

materials. If you checked "carrier," circle all states in which you operated as a carrier of that category of hazardous material. If you checked "shipper" or "other," circle only those states from which you offered hazardous materials - you need not indicate states to which or through which the materials were transported. Registrants operating in all of the "lower 48" states may circle "48 Contiguous States." (This excludes Alaska, Hawaii, the District of Columbia, and our five territories, which must be circled individually, if appropriate.) A list of the states and territories and their abbreviations is provided on page 13.

Remember, if you did not engage last year in any of the activities described in categories A through F, check category G.

CERTIFICATION OF INFORMATION

8. Your signature in item 8 certifies that the information on this form is true, accurate, and complete. BE ADVISED that false statements may violate the law (18 U.S.C. 1001). Complete this part with the signer's name, title, and telephone number and the date the certification is signed. The individual who signs this certification should be an appropriate representative of the registrant. Correspondence regarding registration, including the certificate of registration and next year's form and instructions, will be addressed to this individual.

MAILING INSTRUCTIONS

Mail your completed registration form (one copy) and payment together to:

**U.S. Department of Transportation
Hazardous Materials Registration
P.O. Box 740188
Atlanta, GA 30374-0188**

Do not send them to Washington, D.C. Be sure to mail form and payment **together**.

Be careful to use a mail service that delivers to P.O. Box addresses. (The Atlanta address is a post office box and many services cannot deliver to P.O. Boxes.)

Allow four weeks to receive your certificate of registration.

EXPEDITED REGISTRATION

If you need to register more quickly than the normal procedures provide and you are not able to register over the Internet (see below), you may do so by telephone with credit card payment of an initial \$350 fee, which includes an additional \$50 expedited registration fee. For registrants that meet the SBA size standard for a small business, this \$350 fee will be accepted as full payment of a single-year registration for the 2002-2003 registration year. For registrants that do not meet the SBA size standard for a small business, payment of an additional \$1,700 must be made as part of the follow-up procedures (for a full payment of \$2,050, which includes a \$50 expedited registration fee). You may register only for a single year (2002-2003) through the expedited procedures. You will be provided with a temporary registration number, which can be used for 45 days to prove compliance with the registration requirement. To obtain a registration number promptly, you may also register over the Internet (see below). No additional processing fee is required for registration over the Internet.

To register by telephone, please do the following:

1. Call 800-942-6990 or 617-494-2545 for service 24 hours a day, 7 days a week. Be ready to provide your company name, the mailing address of the principal place of business, and valid VISA, Mastercard, American Express, or Discover information. Your credit card account will be billed for \$350 and payment will be confirmed while you are on the phone.

2. A DOT registration specialist will provide a temporary registration number over the phone, which is good for proving compliance for 45 days from the date of your call. DOT will mail a temporary registration letter that serves as proof of payment of the fee, along with a copy of the registration statement for you to complete. You must complete the statement and return it to the address given in the letter within 10 days of receiving the registration package. Follow the special instructions for "Expedited Follow-Up" below and given in the letter. If your company meets the SBA size standard for a small business, do not resubmit credit card information or send another payment. If your company does not meet the SBA size standard for a small business, you must either submit credit card information or enclose a check or money order for \$1,700 to complete the payment of the appropriate fee.
3. A certificate of registration with the current registration number will be sent to you. Use this number to replace the temporary number given to you over the phone.

Because the expedited procedures allow only single-year registrations (for 2002-2003), and involve the later payment of the remaining \$1,700 fee if your company does not meet the SBA size standard for a small business, we suggest that registration through the Internet may be a more convenient means of obtaining a registration number immediately.

REGISTERING THROUGH THE INTERNET

You may register over the Internet at <http://hazmat.dot.gov/register.htm>. A link provided at "To Register On-Line [Click Here](#)" takes you to the Department's e-commerce site, Do It Yourself. Your Internet browser must support 128-bit encryption to use this service. Assistance in checking your browser version and encryption level and upgrading to the 128-bit encryption versions is provided. Click on "HAZMAT Registration Applications" in the right-hand column. The next page is the registration options page, which allows you to choose "Initial HAZMAT Registration" or "Renewal of HAZMAT Registration" under "Registration for years 2000-2001 and later" or "Registration for years 1999-2000 and prior" and also offers "Registration Amendment" and "Hazmat Company Lookup" (at the bottom of the page). Because of the changes in the registration requirements and fees beginning with the 2000-2001 registration year, please be very careful to select either "Initial HAZMAT Registration" or "Renewal of HAZMAT Registration" under the proper registration years heading. Help in filing your registration through the Internet is provided at the top of the application form pages.

There is no additional charge for registering over the Internet, and at the end of the session you will receive a registration number and be able to print out a registration certificate. We recommend this process, especially if you are in immediate need of a registration number.

If you have questions about registering through the Internet or need assistance, please call 202-366-4484.

Expedited Follow-Up

SPECIAL INSTRUCTIONS FOR COMPLETING EXPEDITED REGISTRATION

[For Those Who Have Made Expedited Registration By Phone And Have Paid The \$350 Initial Expedited Registration Fee]

FOLLOW THESE INSTRUCTIONS CAREFULLY TO AVOID DELAY

1. Check "Expedited Follow-up" in the top block and enter your temporary registration number in the space for "Current Registration #."
2. Complete items 1 through 8. In item 6 enter "0" for the "Total Amount Enclosed" if your company meets the SBA size standard for a small business, or "\$1,700" if your company does not meet the SBA size standard for a small business. If the "Total Amount Enclosed" is \$0, do not resubmit credit card information.
3. Send the completed statement and any necessary payment to DOT at the address in Cambridge, MA, given in the temporary registration letter.
4. Include a copy of the temporary registration letter with this submission as proof of payment of the initial \$350 fee.

DOT will mail a certificate of registration with your current registration number for the year as confirmation that your registration process is complete. Use this number to replace the temporary number for all subsequent purposes.

You must respond within 10 days of receipt of the temporary registration letter.

Selected Small Business Administration Size Standards by SIC and NAICS Industry Codes

NOTE: If your primary industry group does not appear below, check the SBA Internet site at <http://www.sba.gov/size/SIC2NAICSmain.html> for the complete listing of codes and size standards.

SIC	SIC Description	NAICS	NAICS Description	Size Standards in Number of Employees or Millions of Dollars
MINING				
1311	Crude Petroleum and Natural Gas	211111	.. Crude Petroleum and Natural Gas Extraction	500
CONSTRUCTION				
1611	Highway and Street Construction, Except Elevated Highways	234110	.. Highway and Street Construction (pt)	\$28.5
MANUFACTURING				
2851	Paints, Varnishes, Lacquers, Enamels, and Allied Products	325510	.. Paint and Coating Manufacturing (pt)	500
2869	Industrial Organic Chemicals, NEC			
	Aliphatics	325110	.. Petrochemical Manufacturing (pt)	1,000
	Carbon Bisulfide	325188	.. All Other Basic Inorganic Chemical Manufacturing (pt)	1,000
	Ethyl Alcohol	325193	.. Ethyl Alcohol Manufacturing	1,000
	Flourocarbon Gases	325120	.. Industrial Gas Manufacturing (pt)	1,000
	Other	325199	.. All Other Basic Organic Chemical Manufacturing (pt)	1,000
2899	Chemicals and Chemical Preparations, NEC			
	Frit	325510	.. Paint and Coating Manufacturing (pt)	500
	Fatty Acids	325199	.. All Other Basic Organic Chemical Manufacturing (pt)	1,000
	Other	325998	.. All Other Miscellaneous Chemical Product and Preparation Manufacturing (pt)	500
3471	Electroplating, Plating, Polishing, Anodizing, and Coloring	332813	.. Electroplating, Plating, Polishing, Anodizing, and Coloring (pt) ...	500
TRANSPORTATION				
4011	Railroads, Line-haul Operating	482111	.. Line-haul Railroads	500
4013	Railroad Switching and Terminal Establishments			
	Belt line and Logging Railroads	482112	.. Short Line Railroads	500
	Other	488210	.. Support Activities for Rail Transportation (pt)	\$6.0
4212	Local Trucking Without Storage			
	Solid Waste Collection Without Disposal	562111	.. Solid Waste Collection	\$10.5
	Hazardous Waste Collection Without Disposal	562112	.. Hazardous Waste Collection	\$10.5
	Other Waste Collection Without Disposal	562119	.. Other Waste Collection	\$10.5
	Local General Freight Trucking Without Storage	484110	.. General Freight Trucking, Local (pt)	\$21.5
	Household Goods Moving Without Storage	484210	.. Used Household and Office Goods Moving (pt)	\$21.5
	Local Specialized Freight Trucking Without Storage	484220	.. Specialized Freight (except Used Goods) Trucking, Local (pt) .	\$21.5
4213	Trucking, Except Local			
	Long-distance Truckload General Freight Trucking	484121	.. General Freight Trucking, Long-distance, Truckload	\$21.5
	Long-distance Less Than Truckload General Freight	484122	.. General Freight Trucking, Long-distance, Less Than Truckload	\$21.5
	Long-distance Household Goods Moving	484210	.. Used Household and Office Goods Moving (pt)	\$21.5
	Long-distance Specialized Freight Trucking	484230	.. Specialized Freight (except Used Goods) Trucking, Long-distance	\$21.5
4214	Local Trucking with Storage			
	Local General Freight Trucking with Storage	484110	.. General Freight Trucking, Local (pt)	\$21.5
	Local Household Goods Moving	484210	.. Used Household and Office Goods Moving (pt)	\$21.5
	Local Specialized Freight Trucking with Storage	484220	.. Specialized Freight (except Used Goods) Trucking, Local (pt) .	\$21.5
4225	General Warehousing and Storage			
	General Warehousing and Storage	493110	.. General Warehousing and Storage (pt)	\$21.5
	Miniwarehouses and Self-storage Units	531130	.. Lessors of Miniwarehouses and Self-storage Units	\$21.5
4731	Arrangement of Transportation of Freight and Cargo			
	Freight Rate Auditors and Tariff Consultants	541614	.. Process, Physical Distribution, and Logistics Consulting Services (pt)	\$6.0
	Except Freight Rate Auditors and Tariff Consultants	488510	.. Freight Transportation Arrangement	\$6.0*
			Except, Non-Vessel Owning Common Carriers and Household Goods Forwarders (\$21.5)	
4953	Refuse Systems			
	Materials Recovery Facilities	562920	.. Materials Recovery Facilities	\$10.5
	Hazardous Waste Treatment and Disposal	562211	.. Hazardous Waste Treatment and Disposal	\$10.5
	Solid Waste Landfills	562212	.. Solid Waste Landfill	\$10.5
	Solid Waste Combustors and Incinerators	562213	.. Solid Waste Combustors and Incinerators	\$10.5
	Other Nonhazardous Waste Treatment and Disposal	562219	.. Other Nonhazardous Waste Treatment and Disposal	\$10.5

(Continued on Page 13)

Selected Small Business Administration Size Standards by SIC and NAICS Industry Codes (cont.)

NOTE: If your primary industry group does not appear below, check the SBA Internet site at <http://www.sba.gov/size/SIC2NAICSmain.html> for the complete listing of codes and size standards.

SIC	SIC Description	NAICS	NAICS Description	Size Standards in Number of Employees or Millions of Dollars
WHOLESALE TRADE				
5153	Grain and Field Beans	422510	.. Grain and Field Bean Wholesalers	100
5169	Chemicals and Allied Products, NEC	422690	.. Other Chemical and Allied Products Wholesalers	100
5171	Petroleum Bulk Stations and Terminals			
	Heating Oil Sold Via Retail Method	454311	.. Heating Oil Dealers (pt)	\$10.5
	LP Gas Sold Via Retail Method	454312	.. Liquefied Petroleum Gas (Bottled Gas) Dealers (pt)	\$6.0
	Sold Via Wholesale Method	422710	.. Petroleum Bulk Stations and Terminals	100
5172	Petroleum and Petroleum Products Wholesalers, Except	422720	.. Petroleum and Petroleum Products Wholesalers (except Bulk Stations and Terminals)	100
5191	Farm Supplies			
	Except Lawn and Garden Supplies Sold Via Retail Method	422910	.. Farm Supplies Wholesalers	100
RETAIL TRADE				
5541	Gasoline Service Stations			
	With Convenience Store	447110	.. Gasoline Stations with Convenience Store (pt)	\$23.0
	Except with Convenience Stores	447190	.. Other Gasoline Stations	\$7.5
	[Note: Convenience Stores with Gas, SIC 5411, is NAICS	447110	.. Gasoline Stations with Convenience Store (pt) \$23.0]	
5983	Fuel Oil Dealers	454311	.. Heating Oil Dealers (pt)	\$10.5
5984	Liquefied Petroleum Gas (Bottled Gas) Dealers	454312	.. Liquefied Petroleum Gas (Bottled Gas) Dealers (pt)	\$6.0
5989	Fuel Dealers, NEC	454319	.. Other Fuel Dealers	\$6.0
NONCLASSIFIABLE ESTABLISHMENTS				
9999	Nonclassifiable Establishments	(No comparable NAICS code: please use the code for the closest applicable NAICS industry group.)		
Notes: Size standards as revised by SBA 2-22-02.				
The abbreviation "pt" means "part of". The abbreviation NEC means Not Elsewhere Classified.				
* NAICS code 488510 - As measured by total revenues, but excluding funds received in trust for an unaffiliated third party, such as bookings or sales subject to commissions. The commissions received are included as revenue.				

TWO LETTER STATE ABBREVIATIONS

Alabama	AL	Kentucky	KY	Ohio	OH
Alaska	AK	Louisiana	LA	Oklahoma	OK
American Samoa	AS	Maine	ME	Oregon	OR
Arizona	AZ	Maryland	MD	Pennsylvania	PA
Arkansas	AR	Massachusetts	MA	Puerto Rico	PR
California	CA	Michigan	MI	Rhode Island	RI
Colorado	CO	Minnesota	MN	South Carolina	SC
Connecticut	CT	Mississippi	MS	South Dakota	SD
Delaware	DE	Missouri	MO	Tennessee	TN
Dist. Columbia	DC	Montana	MT	Texas	TX
Florida	FL	Nebraska	NE	Utah	UT
Georgia	GA	Nevada	NV	Vermont	VT
Guam	GU	New Hampshire	NH	Virgin Islands	VI
Hawaii	HI	New Jersey	NJ	Virginia	VA
Idaho	ID	New Mexico	NM	Washington	WA
Illinois	IL	New York	NY	West Virginia	WV
Indiana	IN	North Carolina	NC	Wisconsin	WI
Iowa	IA	North Dakota	ND	Wyoming	WY
Kansas	KS	N. Mariana Is.	MP		